RECEIVED **GENTRAL FAX CENTER** AUG 0 3 2005

Law Offices of Robert J. Eichelburg

Law Offices of Robert J. Eichelburg HODAFEL Building, Suite 200 Annapolis, Maryland 21403

<u>c</u> on fidential

To:

Hon. Commissioner of Patents and Trademarks

Fax Number:

1016868 1 703 872-9306

From:

Robert J. Eichelburg

Fax Number:

410 295 0457

Business Phone:

410 295 1508

Home Phone:

410 295 1509

Pages:

18

Date/Time:

8/3/2005 1:11:23 PM

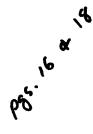
Subject:

Ser. No. 09/357,957; Atty. Dkt. 1064.0011-04

Sir:

Please acknowledge by return facsimile the attached Information Disclsoure Statement (14 pp.), six pages of PTO Form 1449 and PTO Form 2038 for payment of the 37 CFR 1.17 (p) Information Disclosure fee of \$180.00.

Robert J. Eichelburg Reg. No. 23,057



RECEIVED GENTRAL FAX CENTER AUG 0 3 2005

PATENT CUSTOMER NUMBER, 34,986 Docket No. 01064.0011-04-000

In The United States Patent and Trademark Office

In re Application of:)
Richard LEVY) Group Art Unit: 1714
Serial No.: 09/357,957) Examiner: C. Toomer
Filed: July 21, 1999)
For: LUBRICANT COMPOSITIONS AND METHODS))

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

08/04/2005 MBINAS 00000025 09357957

01 FC:1806

180.00 OP

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 and 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicant brings to the attention of the Examiner the documents listed on the attached Form PTO 1449 (six sheets). This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c) this Information Disclosure Statement is accompanied by PTO Form 2038 for payment of the fee of \$180.00 as specified by Section 1.17(p).

The documents show <u>inter alia</u>, categories of lubricants known to the skilled artisan prior to June 7, 1995, the parent application that forms the basis for the present application.

Class 508, Subclass 123, lubricant alloys or elemental metals:

Gardos et al. U. S. Patent No. 4,376,710, lubricants comprising alloys of,

Ga / In / WSe₂

Mo / Nb / Cu / MoS₂

MoS₂/ Ta₂.

Lemmer U.S. Patent No. 4,050,932, lubricants comprising copper alloys.

Class 508, Subclass 121, boron or silicon containing lubricants:

Oldiges et al. U.S. Patent No. 5,536,422, lubricants comprising carbonate, boron nitride, silicate, and acetate compounds.

Otake et al. U.S. Patent No. 4,765,917, lubricants comprising carbonate, borate, and boron nitride.

Jain et al. U.S. Patent No. 4,104,178, lubricants comprising sodium bicarbonate, sodium pentaborate, and sodium molybdate.

Jain et al. U.S. Patent No. 3,983,042, lubricants comprising sodium bicarbonate, sodium pentaborate, and sodium molybdate.

Class 508, Subclass 124, silicon containing lubricants:

Oldiges U.S. Patent No. 5,093,015, lubricants comprising copper or alloys thereof, calcium carbonate or Al, Sb, Vd, W or Ni.

Rodriguez U.S. Patent No. 4,363,737, lubricants comprising boron nitrite, boron nitrate, and titanium dioxide.

Class 508, Subclass 129, lubricants with inorganic compounds:

Lum et al. U.S. Patent No. 5,468,401, lubricants comprising dibutyltin carbonate and boron nitride.

Rao et al. U.S. Patent No. 5,363,821, lubricants comprising boron nitride.

Swazaki et al. U.S. Patent No. 5,346,634, lubricants comprising sodium polycarbonate, CaCO₃, BN₃, SnO, PbO, ZnO, CaO, CuO, and Al₂O₃.

Whe et al. U.S. Patent No. 5,269,953, lubricants comprising graphitic oxide.

Jacobs U.S. Patent No. 5,049,289, lubricants comprising CaO, MgO, ZnO, CaCO₃, MgCO₃, and ZnCO₃.

King U.S. Patent No. 4,965,001, lubricants comprising antimony trioxide, antimony tetroxide, antimony pentoxide, and lead oxide.

Mendelson et al. U.S. Patent No. 4,909,951, lubricants comprising calcium thiocarbonate, calcium trithiocarbonate, and calcium tetrathiocarbonate.

Dumdum et al. U.S. Patent No. 4,908,142, lubricants comprising calcium thiocarbonate, calcium trithiocarbonate, and calcium tetrathiocarbonate.

Periard et al. U.S. Patent No. 4,575,430, lubricants comprising boron nitride.

Tubbs et al. U.S. Patent No. 4,557,839, lubricants comprising Sb₂O₃.

Jain et al. U.S. Patent No. 4,287,073, lubricants comprising sodium bicarbonate, sodium pentaborate, and sodium molybdate.

Spiegleberg U.S. Patent No. 4,096,076, lubricants comprising cobalt oxide, and diboron trioxide.

Burgess et al. U.S. Patent No. 3,931,020, lubricants comprising sodium nitrite, sodium nitrate, potassium nitrate and zinc oxide.

Class 508, Subclass 150, lubricant alloys or metals:

Rao et al. U.S. Patent No. 5,663,124, low alloy steel powders with solid lubricant properties, e.g., alloys of Fe, Mn, Ni, Cu, and, C.

Strumban U.S. Patent No. 5,523,006, lubricants comprising Cu, Ni, Sn and Cu, Ni, Sn, Zn alloy.

Weischel et al. U.S. Patent No. 5,397,485, lubricants comprising alloy particles of Pb/Cu, Pb/Cu/Zn or Sn or other metals.

Sugimara et al. U.S. Patent No. 5,013,464, lubricants comprising Ga or alloys of Ga with at least one of In, Zn, Sn, or Al.

Buran et al. U.S. Patent No. 4,756,841, lubricants comprising a coating of Ni/CrC or Mo/Ni alloy and at least one of Cr, CrC or MoC.

Jamison U.S. Patent No. 4,647,386, lubricants comprising Nb, Ta or W or alloy thereof with at least one of Cr, Vd, Mo, W or Fe.

Palmer U.S. Patent No. 4,541,984, lubricants comprising a coating of alloys of Zr,
Yt, Ti, Ce, Co, Ni, Cr and compounds or mixtures thereof.

Hurst U.S. Patent No. 4,076,637, lubricants comprising alloy powders based on Sn, Bi Cd, Pb, In or Ga.

Burke U.S. Patent No. 3,994,697, lubricants comprising metal alloys for combining with MoS₂ for lubricating an engine.

De Hart et al. U.S. Patent No. 3,939,081, lubricants comprising a chrome alloy of carbon steel.

Class 508, Subclass 154, lubricant inorganic compounds:

Forster U.S. Patent No. 5,507,961, lubricants comprising coatings of Cs, MoO₄, SO₄, WO₄, WOS3, or MoO₃, and CsOH.

Class 508, Subclass 178, lubricant metal oxide compounds:

Kojima U.S. Patent No. 5,156,756, lubricants comprising SnO and/or TiO₂ powder.

Class 508, Subclass 180, lubricant carbonate compounds:

Waynick U.S. Patent No. 4,986,923, lubricants comprising Group IA or Group IIA carbonates in grease.

Nader et al. U.S. Patent No. 5,456,848, lubricants comprising cesium carbonate.

Olsen et al. U.S. Patent No. 5,308,514, lubricants comprising calcium carbonate.

Ito et al. U.S. Patent No. 5,244,587, lubricants comprising calcium carbonate.

Chiddick et al. U.S. Patent No. 5,173,204, lubricants comprising calcium carbonate, magnesium carbonate and lead carbonate.

Waynick

U.S. Patent No. 5,158,694, lubricants comprising Group IA or Group IIA

carbonates.

Wainright

U.S. Patent No. Re 31,611, lubricants comprising Group IIA

carbonates.

Sternlieb

U.S. Patent No. 4,143,423, lubricants comprising sodium, or potassium

carbonate, citrate, acetate, bicarbonate, trihydrate, and citrate dihydrate.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,

THE LAW OFFICES OF ROBERT J. EICHELBURG

Dated: August 2, 2005

By: /Robert J. Eichelburg, Reg. No. 23,057/

CERTIFICATE OF FACSIMILE TRANSMISSION PURSUANT TO 37 C.F.R. § 1.6 (d)

I hereby certify that this correspondence, including the attached six sheets of PTO Form 1449, and PTO Form2038 for the payment of the 37 C.F.R. § 1.17 (p) fee of \$180.00 is being transmitted pursuant to 37 C.F.R. § 1.6(d) by facsimile to The United States Patent and Trademark Office, Group Art Unit 1714, facsimile telephone number (703) 872-9306 on the date indicated below.

By: /Robert J. Eichelburg, Reg. No. 23,057/

Dated: August 2, 2005

FORM PTO-1	449	Page 1 of 6			ATTY. DOCKET I 01064.0011-04-0					
II	IFORN	IATION DISCLOSU	IRE STATEMENT		APPLICANT Richard LEVY / Ser.No. 09/357,957					
	(U	se several sheets ii	necessary)		FILING DATE July 21, 1999		GROUP ART UNIT 1714			
*Examiner's Initials		DOCUMENT NUMBER	DATE NAME			CLASS	SUB- CLASS	FILING D		
	US	4,376,710	3/15/83	Gardos	et al.	508	123			
	US	4,050,932	9/27/77	Lemme	er .	508	123			
	US	4,765,917	8/23/88	Otaki e	t al.	508	121			
	us	4,104,178	8/1/78	Jain et	al.	508	121			
	US	3,983,042	9/28/76	Jain et	al.	508	121			
	US	5,093,015	3/3/92	Oldiges		508	124			
	US	4,363,737	12/14/82	Rodrigu		508	124			
*Examiner's 、 Initials		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB- CLASS	TRANSLA YES		
							!			
			,							
	1	OTHER INF	ORMATION (Includ	ding Author,	Title, Date, Pertine	ent Pages, E	tc.)			
EXAMINER					TE CONSIDERED					

several sheets DOCUMENT NUMBER 5,437,802 5,363,821 5,346,634 5,269,953 5,049,289 4,965,001 4,909,951 4,908,142	9/13/94 9/13/94 12/14/93 1/19/93 10/23/90 3/20/90 3/13/90	Rao et Sawaz Whewe Jacobs King Mende	ni et al. : al. :aki et al. ell s	CLASS 508 508 508 508 508 508 508	1714 SUB- CLASS 129 129 129 129 129	FILING IF APPR	DATE, OPRIATE
5,437,802 5,363,821 5,346,634 5,269,953 5,049,289 4,965,001 4,909,951 4,908,142	9/13/94 9/13/94 12/14/93 1/19/93 10/23/90 3/20/90 3/13/90	Kurash Rao et Sawaz Whew Jacobs King	July 21, 1999 ni et al. taki et al. tell selson et al.	508 508 508 508 508 508	1714 SUB- CLASS 129 129 129 129 129	FILING	DATE,
NUMBER 5,437,802 5,363,821 5,346,634 5,269,953 5,049,289 4,965,001 4,909,951 4,908,142	8/1/95 11/15/94 9/13/94 12/14/93 1/19/93 10/23/90 3/20/90 3/13/90	Kurash Rao et Sawaz Whew Jacobs King	ni et al. : al. :aki et al. ell s	508 508 508 508 508 508	129 129 129 129 129 129 129	FILING IF APPR	DATE, OPRIATE
5,363,821 5,346,634 5,269,953 5,049,289 4,965,001 4,909,951 4,908,142	9/13/94 9/13/94 12/14/93 1/19/93 10/23/90 3/20/90 3/13/90	Rao et Sawaz Whewe Jacobs King Mende	al. aki et al. eli s elson et al.	508 508 508 508 508	129 129 129 129 129		
5,346,634 5,269,953 5,049,289 4,965,001 4,909,951 4,908,142	9/13/94 12/14/93 1/19/93 10/23/90 3/20/90 3/13/90	Sawaz Whew Jacobs King Mende	aki et al. ell s elson et al.	508 508 508 508	129 129 129 129		
5,269,953 5,049,289 4,965,001 4,909,951 4,908,142	12/14/93 1/19/93 10/23/90 3/20/90 3/13/90	Whew Jacobs King Mende	ell s elson et al.	508 508 508	129 129 129		-
5,049,289 4,965,001 4,909,951 4,908,142	1/19/93 10/23/90 3/20/90 3/13/90	Jacobs King Mende	elson et al.	508 508	129 129		
4,965,001 4,909,951 4,908,142	10/23/90 3/20/90 3/13/90	King Mende	elson et al.	508	129		
4,909,951 4,908,142	3/20/90 3/13/90	Mende					
4,909,951 4,908,142	3/13/90			508	400		
		Dumd			129	<u> </u>	
4,575,430	3/11/86	Dumdum et al.		508	129		
US 4,575,430 3/11/86		Periard et al.		508	129		
DOCUMENT	DATE	COUNTRY		CLASS	SUB-		LATION
NUMBER	DATE		COUNTRY	CLASS	CLASS	YES	NO
OTHER INFO	RMATION (Including	Author,	, Title, Date, Pertin	ent Page:	s, Etc.)	<u> </u>	<u>. </u>
							
		DA	TE CONSIDERED)			
	f reference cor	f reference considered, whether or	DA reference considered, whether or not citat	DATE CONSIDERED reference considered, whether or not citation is in conformar	DATE CONSIDERED f reference considered, whether or not citation is in conformance with M	reference considered, whether or not citation is in conformance with MPEP 609	

FORM PTO-1	449	Page 3 of	6		ATTY. DOCKET NO. 01064.0011-04-000 SERIAL No. 09/357,957					
INFO)RM	ATION DISCLO	SURE STATEMENT		APPLICANT Richard LEVY					
	(Us	se several sheet	ts if necessary)		FILING DATE July 21, 1999	GROUP ART UNIT 1714			IT	
*Examiner's Initials		DOCUMENT NUMBER	DATE	NAME			SUB- CLASS		DATE, OPRIATE	
	US	4,557,839	12/10/85	Tubbs et al.		508	129			
	US	4,287,073	9/1/81	Jain et	: al.	508	129			
	us	4,096,076	6/20/78	Spiegl	eberg	508	129			
	US	5,397,485	3/14/95	Weischel et al.		508	150			
	US	5,013,464	6/12/89	Sugim	ura et al.	508	150			
	US	4,756,841	7/12/88	Buran et al. Jamison		508	150			
	US	4,647,386	3/3/87			508	150			
	US	4,5,41,984	9/17/85	Palmer		508	150			
*Examiner's Initials		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB- CLASS	TRANS YES	LATION NO	
	-			-				123	140	
				+					_	
			W-V							
							_			
		OTHER INF	ORMATION (Including	Author,	, Title, Date, Pertin	ent Pages	s, Etc.)			
						<u> </u>				
							_			
EXAMINER				DA	DATE CONSIDERED					
			onsidered, whether or r							

FORM PTO-1	1449	Page 4 of	6		ATTY. DOCKET 01064.0011-044					
INFO	ORM/	ATION DISCLO	SURE STATEMENT		APPLICANT Richard LEVY					
	(Us	e several sheet	s if necessary)		FILING DATE July 21, 1999	GROUI 1714	P ART UN	IIT		
*Examiner's Initials		DOCUMENT NUMBER	DATE	NAME		CLASS		FILING DATE		
	US	4,076,637	2/28/78	Hurst		508	150			
	US	3,994,697	11/30/76	Burke		508	150		·	
	US	3,939,081	11/20/76	DeHar	t et al.	508	150			
	us	5,156,756	10/20/92	Kojima	1	508	178			
	US	5,456,848	10/10/95	Nader	et al.	508	180			
	US	5,308,514	5/3/94	Olson et al.		508	180		****	
	us	5,244,587	9/14/93	Ito et a	ıl.	508	180			
	us	5,173,204	12/22/92	Chiddi	ck et al.	508	180			
*Examiner's Initials		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB- CLASS	TRANS YES	LATION NO	
,					·					
						1				
		OTHER INFO	ORMATION (Including	Author	Title, Date, Pertir	nent Page	s, Etc.)			
EXAMINER										
E/WINITAET/				DA	TE CONSIDERED)				
*EXAMINER:	Initia	al if reference co	onsidered, whether or in	not citat	ion is in conformat	nce with M	IPEP 609	9; Draw lin	e through	

449	Page 5 of 6					SERIAL No. 09/357,957				
)RMA	ATION DISCLOS	URE STATEMENT		APPLICANT Richard LEVY						
(Us	e several sheets	if necessary)		FILING DATE July 21, 1999			P ART UN	IT		
	DOCUMENT NUMBER	DATE	NAME		CLASS	SUB- CLASS	FILING DATE, IF APPROPRIAT			
US	5,158,694	2/28/78	Hurst		508	150				
US	3,994,597	11/30/76	Burke		508	150				
US	5.156.756	10/20/92	Kojima	1	508	178				
	-,,.		ļ							
US	5.456.848	10/10/95	Nader	et al.	508	180		,		
US	5,308,514	5/3/94	Olson et al.		508	180				
us	5,244,587	9/14/93	Ito et al.		508	180				
us	5,173,204	12/22/92	Chiddick et al.		508	180	-			
	DOCUMENT	DATE	COLINTRY		CLASS	SUB- TRANSLAT		i		
	NUIVIBER	DATE		COUNTRY	CLASS	CLASS	YES	NO		
	OTHER INFO	RMATION (Including	Author	Title, Date, Perti	nent Page	s, Etc.)	<u> </u>			
$\left \cdot \right $								 -		
<u>1</u>			DA	TE CONSIDERE	D					
	US US US US US US	DOCUMENT NUMBER US 5,156,756 US 5,456,848 US 5,308,514 US 5,173,204 DOCUMENT NUMBER DOCUMENT DOCUMENT	DOCUMENT NJ/10/95 US 5,156,756 10/20/92 US 5,308,514 5,304,597 DOCUMENT US 5,173,204 DOCUMENT NUMBER DOCUMENT NUMBER DOCUMENT NUMBER DATE DOCUMENT NUMBER DATE DA	DOCUMENT NAME NAM	OTHER INFORMATION (Including Author, Title, Date, Perti	O1064.0011-04-000 APPLICANT Richard LEVY	ORMATION DISCLOSURE STATEMENT	DOCUMENT Name Sub- Sub		

FORM PTO-1449 Page 6 of 6					ATTY. DOCKET I		SERIAL No. 09/357,957				
INFO	ORM/	ATION DISCLO	SURE STATEMENT		APPLICANT Richard LEVY		<u>.l</u>				
	(Us	se several sheets	s if necessary)	٠	FILING DATE July 21, 1999	GROUP ART UNIT 1714					
*Examiner's Initials		DOCUMENT DATE N			<u> </u>	CLASS	SUB- CLASS	FILING DATE, IF APPROPRIATE			
	US	5,158,694	10/27/94	Wayni	ck	508	180				
	us	RE 31,611	6/26/84	Wainri	ght	508	180				
	US	4,143,423	3/13/79	Steinli	e b	508	180				
	us	4,986,923	1/22/91	Wayni	ck	508	180				
				<u> </u>							
	+	-		+				 			
	+										
*Examiner's		DOCUMENT	DATE	COUNTRY CL.		CLASS	SUB- CLASS	TRANSLATION			
Initials	-	NUMBER	DATE	 	COONTRI	CLASS	CLASS	YES	NO		
· .	+			+							
				<u> </u>			<u></u>	<u> </u>			
						<u> </u>		<u> </u>			
	т	OTHER INFO	ORMATION (Including	Author	Title, Date, Pertin	ent Pages	s, Etc.)				
	1										
	+										
EXAMINER	<u></u>			DA	TE CONSIDERED)		<u></u>	<u> </u>		
*EXAMINER:	Initia	al if reference co	onsidered, whether or r	not citat	ion is in conformar	nce with Mext commu	IPEP 609	 Э; Draw lin to applica	e through		

THIS PAGE BLANK (USPTO)

THIS PAGE BLANK (USPTO)

THIS PAGE BLANK (USPTO)